

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TAKATA et al.

Serial No. 09/722,404

Filed: November 28, 2000

For: SECURITY SYSTEM METHOD AND APPARATUS FOR
PREVENTING APPLICATION PROGRAM
UNAUTHORIZED USE

* * * * *

Atty. Ref.: 3917-2

Group:

Examiner:

August 9, 2001

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Enclosed is a copy of the Filing Receipt for the above-identified application which incorrectly states the filing date as March 21, 2001. It should read November 28, 2000. The correction is shown in red on the attached copy of the filing receipt. Correction of same is respectfully requested.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: Mark E. Nusbaum

Mark E. Nusbaum

Reg. No. 32,348

MEN:mg
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/722,404 | 03/24/2001 | 3131 | 1000 | 3917-2 | 7 | 18 | 5 |

Nixon & Vanderhye PC
8th Floor
1100 North Glebe Road
Arlington, VA 22201-4714



CONFIRMATION NO. 4573

FILING RECEIPT



OC000000006039631

Date Mailed: 05/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hidekazu Takata, Nara-shi, JAPAN;
Yuji Tanaka, Nara-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/03/2001

Projected Publication Date: 09/26/2002

Non-Publication Request: No

Early Publication Request: No

Title

Security system method and apparatus for preventing application program unauthorized use

Preliminary Class

713

Data entry by : LADRINGAN, JUDITH

Team : OIPE

Date: 05/03/2001

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000 1001 1002 1003 1004 1005 1006 1007 1008 1009 1010 1011 1012 1013 1014 1015 1016 1017 1018 1019 1020 1021 1022 1023 1024 1025 1026 1027 1028 1029 1030 1031 1032 1033 1034 1035 1036 1037 1038 1039 1040 1

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TAKATA et al.

Serial No.: 09/722,404

Filed: November 28, 2000

For: SECURITY SYSTEM METHOD AND APPARATUS FOR
PREVENTING APPLICATION PROGRAM
UNAUTHORIZED USE

* * * * *

Atty. Ref.: 3917-2

Group:

Examiner:

August 9, 2001

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

REQUEST FOR CORRECTION OF NOTICE OF RECORDATION

The Notice of Recordation dated March 21, 2001 is erroneous. It incorrectly states the filing date as March 21, 2001. It should read November 28, 2000.

Enclosed is a copy of the originally submitted Recordation Form Cover Sheet and a copy of the Notice of Recordation and assignment document for the above-identified application. Correction of same is respectfully requested.

Since these errors are PTO errors, no additional fee is believed necessary. However, if any additional fee is deemed required to correct these errors, authority is hereby given to charge such fees to our Account No. 14-1140.

Respectfully submitted,
NIXON & VANDERHYE P.C.

By: 

Mark E. Nusbaum

Reg. No. 32,348

MEN:mg
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100

3917-2
MEN



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 05, 2001

NIXON & VANDERHYE P.C.
MARK E. NUSBAUM
1100 NORTH GLEBE ROAD
8TH FLOOR
ARLINGTON, VA 22201



101655882A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/21/2001

REEL/FRAME: 011630/0947
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TAKATA, HIDEKAZU

DOC DATE: 03/14/2001

ASSIGNOR:

TANAKA, YUJI

DOC DATE: 03/14/2001

ASSIGNEE:

NINTENDO CO., LTD.
11-1, HOKOTATE-CHO, KAMITOBA,
MINAMI-KU
KYOTO, JAPAN

ASSIGNEE:

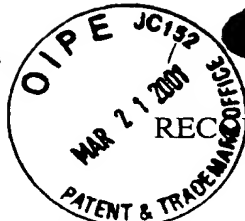
SHARP KABUSHIKI KAISHA
22-22, NAGAIKE-CHO, ABENO-KU
OSAKA, JAPAN

SERIAL NUMBER: 09722404
PATENT NUMBER:

FILING DATE: 11/28/2000
ISSUE DATE: 03/21/2001

011630/0947 PAGE 2

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



04-02-2001

U.S. Department of Commerce
Patent and Trademark Office



PA

101655882

Our Ref.: 3917-2

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hidekazu TAKATA

Yuji TANAKA

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Assignment

☐ Change of Name

☐ Other

Execution Date: March 14, 2001

2. Name and address of receiving party(ies):

Name: Nintendo Co., Ltd.

Internal Address:

Street Address: 11-1, Hokotate-cho, Kamitoba, Minami-ku

City: Kyoto

State/Country: Japan

Zip:

Additional name & address attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

(1) 09/722,404

(2)

(3)

B. Patent No(s).

(1)

(2)

(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark E. Nusbaum

Internal Address:

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark E. Nusbaum

Name of Person Signing

Reg. No. 32,348

Signature

March 21, 2001

Date

Total number of pages including original cover sheet, attachments, and document: [4]



RECORDATION FORM COVER SHEET

PATENTS ONLY

Our Ref: 3917-2

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

1. Name of conveying party(ies):



2. Name: SHARP Kabushiki Kaisha

Street Address: 22-22, Nagaike-cho, Abeno-ku

City: Osaka

Country: Japan

Zip:

4. Application number(s) or patent number(s):

A. Patent Application No(s).

- (4)
- (5)
- (6)
- (7)
- (8)
- (9)

B. Patent No(s).

- (4)
- (5)
- (6)
- (7)
- (8)
- (9)

ASSIGNMENT FOR PATENT APPLICATION
(U.S. Rights Only)

WHEREAS, Hidekazu TAKATA and Yuji TANAKA, (hereinafter ASSIGNORS) of 3-292-3, Minamikidera-cho, Nara-shi, NARA, Japan; 1-28-9-202, Hiramatsu-cho, Nara-shi, NARA, Japan , respectively, have made an invention entitled **SECURITY SYSTEM METHOD AND APPARATUS FOR PREVENTING APPLICATION PROGRAM UNAUTHORIZED USE** for which a so-entitled application for Letters Patent of the United States is being concurrently executed herewith; was filed in the United States Patent and Trademark Office on **November 28, 2000** under **Serial No. 09/722,404**;

WHEREAS, Nintendo Co., Ltd. and SHARP Kabushiki kaisha (hereinafter ASSIGNEES), corporations of the Country of Japan, having an office and place of business at 11-1, Hokotate-cho, Kamitoba, Minami-ku, KYOTO, Japan; 22-22, Nagaike-cho, Abeno-ku, OSAKA, Japan, respectively are desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of ASSIGNORS' employment with ASSIGNEES and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNORS by these presents hereby sell, assign, and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the full and exclusive right to the said invention in the United States and all foreign countries, as described in the aforesaid application and any regular patent applications to be filed that claim priority therefrom, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-

American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres;

ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any Letters Patent for said invention to ASSIGNEES, for its interest as ASSIGNEES, for the sole use and behalf of ASSIGNEES, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEES' attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEES and will continue to represent only ASSIGNEES with respect to this invention.

And, ASSIGNORS hereby agree to transfer a like interest upon request of said ASSIGNEES, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention; and to execute any papers by ASSIGNEES, its successors, assigns, and legal representatives, deemed essential to ASSIGNEES' full protection and title in and to the invention hereby transferred.

Agreeing, further upon request of ASSIGNEES, and without further remuneration, to execute any and all papers desired by ASSIGNEES, for the filing and granting of regular and foreign applications and the perfecting of title thereto in ASSIGNEES.

AGREED and executed as noted below:

March 14, 2001

Date

Hidekazu Takata
Hidekazu TAKATA

March 14, 2001

Date

Yuji Tanaka
Yuji TANAKA